

# CAPITAL STANDARD CORPORATION

## CORPORATE ACCOUNT APPLICATION

Account Number(s)

### GENERAL INFORMATION

1. Name of Corporation

2. Federal Tax Identification Number

3. Address

4. State or Country of Incorporation

5. Date of Incorporation (ATTACH ARTICLES OF INCORPORATION)

6. Principal Type of Business

7. Is this organization tax exempt?

Yes  No

8. Ownership of Corporation

Publicly Held  Privately Held

9. Principal Officers

President

Vice President

Secretary

Treasurer

### FINANCIAL INFORMATION

(ATTACH COPY OF MOST RECENT AUDITED AND ANY INTERIM FINANCIAL STATEMENTS)

### BANK REFERENCES

10. Name of Bank

Branch

Address of Bank

Account Number(s)

11. Name of Bank

Branch

Address of Bank

Account Number(s)

# CAPITAL STANDARD CORPORATION

## CORPORATE ACCOUNT APPLICATION (Continued)

12. Type of Account Requested

Speculative  Hedge

13. Amount of Initial Deposit

14. Is Futures Trading the principal business of the company?

Yes  No

15. Name of Person to receive margin calls, account statements and notices:

	Telephone Number:
--	-------------------

16. Address for account statements and notices:

Address for duplicate account statements and notices

17. Specific Wire Instructions

18. Does the corporation or any of its officers, directors or principal stockholders have a financial interest of 10% or more in any other commodity trading account at CSC?

Yes  No If yes, indicate name(s) and account numbers:

19. Has the corporation previously traded commodity futures, commodity options, securities or security options?

Yes  No If yes, complete the following information:

20. Name of Firm:  Number of Years Traded

Commodity futures  Commodity Options  Securities  Account Status  Open  Closed

21. Name of Firm:  Number of Years Traded

Commodity futures  Commodity Options  Securities  Account Status  Open  Closed

22. Does any other person guarantee this account?  Yes  No If yes, state Name and relationship:

(If yes, a signed CSC Power of Attorney agreement form must be attached)

The undersigned understands that an investigation may be made pertaining to its credit and its business accounts, and therefore, the undersigned authorizes CSC to

# CAPITAL STANDARD CORPORATION

## CORPORATE ACCOUNT APPLICATION

*(Continued)*

contact such banks, brokers, and credit agencies as you may deem appropriate. The information set forth above and submitted herewith is true and correct, and the undersigned will promptly notify CSC, if there is any material change in any of such information.

Name of Corporation:	<input type="text"/>
Print Name of Officer	<input type="text"/>
Signature	<input checked="" type="text"/>
Title	<input type="text"/>
Date	<input type="text"/>

**ALL PAYMENTS TO CSC,  
WHETHER BY CHECK OR BANK WIRE TRANSFER,  
MUST ORIGINATE FROM THE BENEFICIAL OWNER OF THE ACCOUNT.  
THIRD PARTY CHECKS ARE STRICTLY PROHIBITED.  
CASH DEPOSITS, CASHIER'S CHECKS, AND MONEY ORDERS  
ARE NOT PERMITTED**







## BACKUP WITHHOLDING and TRANSACTION REPORTING REQUIREMENTS

### COMBINED W-9, W-8, and 1099-B CERTIFICATIONS (continued)

**Guidelines for Determining the Proper Identification Number to Give the Payor.** Social Security numbers have nine digits separated by two hyphens: i.e., 000-00-0000. Employer identification numbers have nine digits separated by only one hyphen; i.e., 00-000000. The table below will help you determine the number to give the payor.

For This Type of Account:	Give the SOCIAL SECURITY NUMBER OF-	For this type of account:	Give the EMPLOYER IDENTIFICATION NUMBER OF -
An individual's account	The individual	A valid trust, estate, or pension trust	Legal entity (Do not furnish the identifying number of the person representative or trustee unless the legal entity itself is not designated in the account title) (5)
Two or more individuals (Joint Account)	The actual owner of the account or, if combined funds, any one of the individual's	Corporate Account	The corporation
Husband and Wife (Joint Account)	The actual owner of the account or, if joint funds, either person	Religious, charitable, or educational organization account	The organization
Custodian account of a minor (Uniform Gift to Minor's Act)	The Minor (2)	Partnership account held in the name of	The partnership
Adult and Minor (Joint Account)	The adult or, if the minor is the only contributor, the minor (2)	Association, club or other tax-exempt organization	The organization
Account in the name of a guardian or committee for a designated ward, minor, or incompetent person	The ward, minor, or incompetent person (3)	A broker or registered nominee	The broker or nominee
The usual revocable savings trust account (grantor is also trustee)	The grantor-trustee (1)	Account with the Department of Agriculture in the name of a public entity (such as a State or local government, school district or prison) that receives agricultural program payments	The public entity
So-called trust account that is not a legal or valid trust under State law	The actual owner (4)		
Sole Proprietorship account	The owner (4)		

(1) List first and circle the name of the person whose number you furnish. (2) Circle the minor's name and furnish the minor's Social Security Number. (3) Circle the ward's, minor's, or incompetent person's name and furnish such person's Social Security Number. (4) Show the name of the owner. (5) List first and circle the name of the legal trust, estate, or pension trust.

1. **Penalty for Failure to Furnish taxpayer Identification Number**—If you fail to furnish your taxpayer identification number to a payer, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.
2. **Failure to Report Certain Dividend and Interest Payments**—If you fail to include any portion of any includible payment for interest, dividends, or patronage dividends in gross income, such failure will be treated as being due to negligence and will be subject to a penalty of 5% on any portion of an underpayment attributable to that failure unless there is clear and convincing evidence to the contrary.
3. **Civil Penalty for False Information with Respect to Withholding** — If you make a false statement with no reasonable basis which results in no imposition of backup withholding, you are subject to a penalty of \$500.
4. **Criminal Penalty for Falsifying Information** — Falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.